



# WMI CACS NON-EXAMINABLE COURSE 2012 Application Form (Oct 2012)

(For the Compliance / Human Resources Department of Sponsoring Company/Institution)

## **Note**

The objective of the CACS NEC is to allow experienced Covered Persons, who have been successfully exempted from the CACS, to refresh their knowledge and be kept abreast of the current legislation, regulation and industry codes of conduct governing the private banking industry in Singapore. These experienced Covered Persons will need to attend a 4-hour classroom NEC session conducted by WMI, in lieu of the CACS.

Only private banking professionals (or "Covered Persons") who satisfy the following conditions need apply:

1. Possess at least 15 years of relevant financial services-related experience as at 1 September 2011.
2. Designated by their respective Covered Entity to IBF to attend the WMI CACS Non-Examinable Course (NEC).

## **WMI CACS NEC Session**

Please indicate the number of candidate(s) you wish to nominate for the following session:

Name of Sponsoring Company / Institution	No. of Nominated Candidate(s)	Intake	Date & Time	Application Deadline
		Intake 5*	17 Oct 2012 1.00pm – 5.00pm	31 Aug 2012

*\*This will be the last WMI CACS NEC session to be conducted in 2012.*

## **Instructions**

Please read all instructions carefully before completing the application and form(s). To avoid delays in the application process, please complete each section and furnish us with the relevant documents as required. All information furnished will be kept in strict confidence.

### **Step 1:**

Please send, by postal mail, the completed **Application Form** and a photocopy of the NRIC (for Singaporeans); or Passport, Re-entry Permit, and/or Employment Pass (for Singapore Permanent Residents and/or Singapore-based foreigners) for all nominated candidates to:

Wealth Management Institute  
60B Orchard Road  
#06-18, Tower 2  
The Atrium@Orchard  
Singapore 238891

### **Step 2:**

Download the **WMI CACS NEC Nomination Form** via <http://www.wmi.com.sg/cacs.html>. Please email the completed form to [exec.devt@wmi.com.sg](mailto:exec.devt@wmi.com.sg) by the application deadline of each intake of the course.

### **Course Fees**

The WMI CACS NEC fees are subject to applicable GST and are *non-refundable*. The fees and applicable FSDF FTS subsidies are listed below:

<b>No. of Nominated Candidates (For Corporate-Sponsored only)</b>	<b>Fee per Candidate after WMI Discount<sup>#</sup> (Before GST)</b>	<b>Fee per Candidate after FSDF FTS Subsidy<sup>†</sup> (Before GST)</b>
Less than 5 candidates	S\$500.00	S\$250.00
5 to 10 candidates (10% discount)	S\$450.00	S\$225.00
11 to 20 candidates (15% discount)	S\$425.00	S\$212.50
More than 20 candidates (20% discount)	S\$400.00	S\$200.00

<sup>#</sup>All fees and discounts may be subject to change at WMI's sole discretion.

<sup>†</sup> Companies/Institutions may apply for the **Monetary Authority of Singapore (MAS) grant support under the FSDF Financial Training Scheme (FTS)**<sup>^</sup>. The FSDF FTS subsidy of 50% is applicable **only to corporate-sponsored candidates**. The grant does **not** support GST and will be disbursed on a reimbursement basis which is subject to eligibility criteria, and terms and conditions.

<sup>^</sup> Each eligible grant applicant should submit a single annual grant application on a prescribed form for all qualifying training undertaken for the financial year (based on programme commencement date), within 3 calendar months from the end of the applicant's financial year. Companies/Institutions can obtain the prescribed funding application forms from and submit the completed forms to the FSDF Secretariat, Monetary Authority of Singapore, via [fsdf@mas.gov.sg](mailto:fsdf@mas.gov.sg).

### **Modes of Payment**

Upon accepting the WMI's offer of placement in the course(s), the sponsoring company/institution is required to pay the full applicable course fee by:

- Cheque in favour of "Wealth Management Institute Pte Ltd" to be sent to:  
Wealth Management Institute  
60B Orchard Road  
#06-18, Tower 2  
The Atrium@Orchard  
Singapore 238891
- Telegraphic transfer to:  
Account Name : Wealth Management Institute Pte Ltd  
Account No. : 003-900889-9  
Bank : DBS Bank Ltd  
Branch : Shenton Way, Singapore  
Swift Code : DBSSGSG

For more information, please reach us at (65) 6828 6988 or [exec.devt@wmi.com.sg](mailto:exec.devt@wmi.com.sg).

**DECLARATION****For the Compliance / Human Resources Department of Sponsoring Company/Institution:**

- On behalf of the company/institution, I/we undertake that the nominated candidate(s) is/are listed on the **Declaration of Exempted Covered Persons Form** that was submitted to the Institute of Banking and Finance.
- We declare that the information provided in the WMI CACS NEC Application Form, Nomination Form and sheets attached hereto are true to the best of our knowledge and belief, and that we have not willfully suppressed any material fact. We also understand that if after the approval of the application, it is found that we have made a false declaration or willfully suppressed material facts, the nominated candidate(s) may be required to withdraw from the programme, any tuition fee paid will not be refunded and monies awarded under the FSDF for Financial Training Scheme (FTS), if any, will be recovered.
- We understand that the offer of admission by the WMI and funding under the FSDF for the Financial Training Scheme are conditional upon the nominated candidate(s) satisfying all prevailing criteria for admission.
- We understand that the nominated candidate(s) have to fulfill the 100% attendance requirement to be awarded the *WMI CACS Non-Examinable Course (NEC) Certificate of Attendance*.
- We understand that any Monetary Authority of Singapore subsidies for the WMI CACS NEC are solely subject to the applicable criteria and discretion of the Authority.

Name of Authorised Signatory (Dr / Mr / Mrs / Ms)*	Designation & Department
Authorised Signature	Company Stamp & Date (dd/mm/yy)

*\*Please delete accordingly.*

Name of Contact Person (Dr / Mr / Mrs / Ms)*		Designation & Department	
Tel	Fax	Email	
Company Mailing Address			

*\*Please delete accordingly.*

**For Official Use**

<input type="checkbox"/> <b>AC</b>	Processed by:
	Contact No.:
	Signature & Date: